Attorney's Docket No.: 24741-1509US (17164-008USI)

# OFFICIAL COMMUNICATION

#### **FACSIMILE**

# FOR THE PERSONAL ATTENTION OF:

## **EXAMINER J. A. GOLDBERG**

# U.S. PATENT AND TRADEMARK OFFICE (PATENT)

#### COMMISSIONER FOR PATENTS

**WASHINGTON, DC 20231** 

GROUP 1634 FAX NO: 571-273-0743

Number of pages including this page

14

Applicant: Michael W. Dahm, et al.

Art Unit : 1634

Serial No.: 09/601,645

Examiner: Goldberg J. A.

Conf. No.: 7793

Filed

; August 4, 2000

FACSIMILE COMMUNICATION

Title

: METHOD FOR QUANTITATIVE DETERMINATION OF TUMOR CELLS IN

A BODY FLUID AND TEST KITS SUITED THEREFOR

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached to this facsimile communication cover sheet is a copy of a Supplemental Information Disclosure Statement that was not initialed, mailed April 2, 2002 with a return postcard date-stamped April 8, 2002 and cited reference, faxed this 6<sup>th</sup> day of May, 2004, to Group 1634, at the United States Patent and Trademark Office.

Respectfully submitted,

Inger D. Vida

Date:May 6, 2004

Inger D. Kidd

Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-5070 Fax: (202) 626-7796

NOTE: This [acsimile is intended for the addressee only and may contain privileged or confidential information. If you have received this facsimile in error, please immediately call us collect at (858) 678-5070 to arrange for its return. Thank you.

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CLIENT #:

24741-1509US SLS:IDK;kmf

ENCLOSURES:

TRANSMITTAL LETTER (in duplicate); SUPPLEMENTAL INFORMATION DISCLOSUME STATEMENT IN ACCORDANCE WITH

37 C.F.R.1.97-1.98 (2 pages); FORM PTO-1449 (1 page); CITED REFERENCE; CHECK IN THE AMOUNT OF \$180,00; and RETURN

POSTCARD.

APPLICANT:

DAHM et 의.

SERIAL NO FOR:

METHOD FOR THE QUANTITATIVE DETERMINATION OF TUMOR CELLS IN A BODY FLUID AND TEST KITS SUITABLE THEREOF

PLEASE STAMP HERE (THANK YOU'):



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CLIENT #:

24741-1509US SLS:IDK:kmf

**ENCLOSURES:** 

TRANSMITTAL LETTER (in duplicate); SUPPLEMENTAL

INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R.1.97-1.98 (2 pages); FORM PTO-1449 (1 page); CITED REFERENCE: CHECK IN THE AMOUNT OF \$180,00; and RETURN

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APPLICANT:

DAHM et al.

SERIAL NO:

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FOR:

METHOD FOR THE QUANTITATIVE DETERMINATION OF TUMOR

CELLS IN A BODY FLUID AND TEST KITS SUITABLE THEREOF

PLEASE STAMP HERE (THANK YOU):

025216

~ . . . . ALIII IFFF LLP

HELLER EHRMAN WHITE & MCAULIFFE LLP

025216

Client / Matter

<u>Date</u>

Description

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04/02/2002

<u>Amount</u>

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

DAHM et al.

Serial No.:

09/601,645

Conf. No.:

7793

National Stage of:

PCT/EP99/00716

International Filing Date:

February 3, 1999

Commencement of National Stage:

August 8, 2000

For:

METHOD FOR THE QUANTITATIVE DETERMINATION OF TUMOR CELLS IN

A BODY FLUID AND TEST KITS

SUITABLE THEREOF

Art Unit:

1655

Examiner:

Zitomer, S.

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

US Patent and Tradomark Office

PO Box 2327

Arlington, VA 22202, on this date.

04/02/02 Date

TRANSMITTAL LETTER

US Patent and Trademark Office PO Box 2327

Arlington, VA 22202

Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement, cited reference and PTO-Form 1449 (1 page) for filing in connection with the above-identified application. Because this Supplemental Information Disclosure Statement is filed after receipt of a First Office Action on the merits for the above-captioned application but before either a Final Office Action or Notice of Allowance in the above-referenced application, a fee for filing this statement is included herewith. However, should said fee be either missing or incorrect, the Commissioner is authorized to charge deposit account No. 50-1213, for the appropriate fee as stated below:

The Commissioner is hereby authorized to charge any fee, including any submitted herewith  $\mathbf{x}$ if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted.

HELLER, EHRMAN, WHITE & MCAULIFFE LLP

Stephanie Seidman Registration No. 33,779

Attorney Docket No. 24741-1509US Address all correspondences to: Stephanie L. Seidman, Esq. HELLER, EHRMAN, WHITE & MCAULIFFE LLP 4350 La Jolla Village Drive, Suite 600

San Diego, CA 92122-1246 Telephone: (858) 450-8400

Facsimile: (858) 587-5360

EMAIL: sseidman@hewm.com

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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DAHM et al.

Serial No.:

09/601,645

Conf. No.:

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0<u>4/02/02</u> Date

Kelly M. Fischer

TRANSMITTAL LETTER

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Respectfully submitted,
HELLER, EHRMAN WHITE & McAULIFFE LLP

Bv:

Stephanie Seidman Registration No. 33,779

Attorney Docket No. 24741-1509US
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San Diego, CA 92122-1246 Telephone: (858) 450-8400

Facsimile: (858) 587-5360

EMAIL: sseidman@hewm.com

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PO Box 2327

Arlington, VA 22202, on this date.

Date

elly 11. John

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§ 1.97-1,98

US Patent and Trademark Office PQ Box 2327 Arlington, VA 22202

Dear Sir:

Since this Supplemental Information Disclosure Statement is filed after receipt of a first Office Action on the merits for the above-captioned application, the filing fee of \$180.00 is enclosed. If no proper payment is enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1213.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97U.S.S.N. 09/601,645 DAHM, et al. SUPPLEMENTAL IDS

1.98. Form PTO-1449 (1 page) and a copy of the cited document are provided herewith.

The document listed on the Form PTO-1449 is product literature for OncoQuick® by HEXAL Gentech. OncoQuick® was first offered for sale November 2000 at the Medica Fair in Düsseldorf, Germany. This product was subsequently offered for sale in the United States in January 2001. This document is supplied herewith in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and that they be made of record in the file history of the above-captioned application.

Respectfully submitted,
HELLER, EHRMAN, WHITE & McAULIFFE LLP

By:

Stephanie L. Seldman Registration No. 33,779

Attorney Docket No. 24741-1509US
Address all correspondence to:
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EMAIL: sseidman@hewm.com

Sheet 1 of 1 SERIAL NO. ATTY, DOCKET NO. FORM PTO-1449 (Modified) 24741-1509US 09/601,645 APPLICANT LIST OF PATENTS AND PUBLICATIONS FOR DAHM, et al. APPLICANT'S INFORMATION DISCLOSURE **STATEMENT** FILING DATE GROUP August 4, 2000 1655 U.S. PATENT DOCUMENTS FXAMINER DOCUMENT NUMBER CLASS SUB DATE NAME FILING INITIAL CLASS DATE FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER DATE COUNTRY CLASS SUB Translation CLASS OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) HEXAL Gentech product literature for OncoQuick® centrifugation tube available at http://www.hexal-gentech.com/products/; website last updated May 2001.

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DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Title: METHOD FOR THE QUANTITATIVE DETERMINATION OF TUMOR CELLS IN A BODY FLUID AND TEST KITS SUITABLE THEREOF

Mail date: April 2, 2002